

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



REVOCATION/NEW POWER  
Examining Group  
U.S. Patent Application  
Docket No. TPI-T600XC1  
Serial No. 09/994,585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Unknown  
Art Unit : 1645  
Applicant(s) : Douglas Levinson  
Serial No. : 09/994,585  
Filed : November 27, 2001  
Conf. No. : 7294  
For : Rapid Identification of Conditions, Compounds, or Compositions That Inhibit, Prevent, Induce, Modify, or Reverse Transitions of Physical State

*Handwritten:* #698  
10-20-03  
**RECEIVED**  
MAY 30 2003  
TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Washington, D.C. 20231

REVOCATION OF POWER OF ATTORNEY AND  
NEW POWER OF ATTORNEY

Sir:

**TransForm Pharmaceuticals, Inc.**, a corporation having a place of business at 339 The Valley Road, Concord, MA 01742, certifies that it is the owner of the entire right, title and interest in, to, and under an application for letters patent, filed on **November 27, 2001**, as Serial No. **09/994,585**, for an invention entitled "***Rapid Identification of Conditions, Compounds, or Compositions That Inhibit, Prevent, Induce, Modify, or Reverse Transitions of Physical State***," and that **TransForm Pharmaceuticals, Inc.** is the assignee of record by virtue of an assignment from the inventor(s) of the above-identified application that has been recorded in the United States Patent and Trademark Office at Reel/Frame 012578/0966, or as indicated in the attached copy of an executed assignment.

**TransForm Pharmaceuticals, Inc.**, hereby revokes all previous Powers of Attorney, and it hereby appoints the following persons registered to practice before the Patent and Trademark Office as its attorneys with full power of substitution and revocation to prosecute this application and all divisions and continuations thereof and to transact all business in the Patent and Trademark Office connected therewith: the registrants of the firm Saliwanchik, Lloyd & Saliwanchik, A Professional Association, 2421 N.W. 41st Street, Suite A-1, Gainesville, FL 32606-6669, **Customer ID Number 23,557**.

I request that all correspondence be directed to **Customer ID Number 23,557**.

I further request that all telephone communications be directed to:

Frank C. Eisenschenk, Ph.D.  
352-375-8100

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

**TRANSFORM PHARMACEUTICALS, INC.**

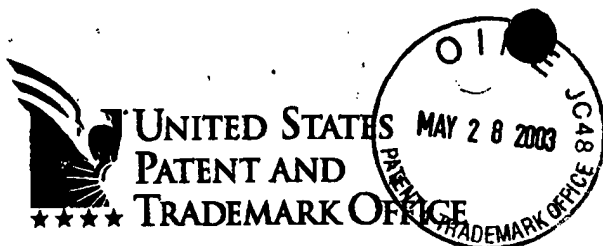
By: \_\_\_\_\_

John Lucas, Ph.D., J.D.

Title: Vice President and Chief Patent Counsel

Date: \_\_\_\_\_

2/19/03



RECEIVED

MAY 30 2003

R. Nath  
1725

006 B-05

APRIL 12, 2002

PTAS

TECH CENTER 1600/2900

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

PENNIE & EDMONDS LLP  
ANTHONY M. INSOGNA  
1155 AVENUE OF AMERICAS  
NEW YORK, NY 10036-2711



\*101986598A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/06/2002

REEL/FRAME: 012578/0966  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LEVINSON, DOUGLAS

DOC DATE: 02/05/2002

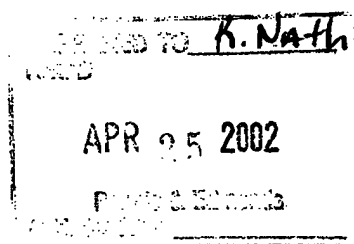
ASSIGNEE:

TRANSFORM PHARMACEUTICALS, INC.  
610 LINCOLN STREET  
WALTHAM, MASSACHUSETTS 02451

SERIAL NUMBER: 09994585  
PATENT NUMBER:

FILING DATE: 11/27/2001  
ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS





02-20-2002

Express Mail Number: EL 501 742 630 US



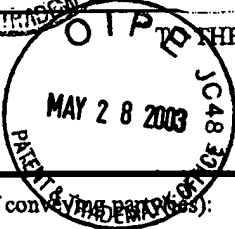
VER SHEET

LY

Attorney Docket Number

10436-0015-999

101986598



THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment  
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Levinson, Douglas

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: February 5, 2002

2. Name and address of receiving party(ies):

Name: Transform Pharmaceuticals, Inc.

Address: 610 Lincoln Street

Waltham, Massachusetts 02451

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/994,585 filed 11/27/01

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP  
1155 Avenue of Americas  
New York, N.Y. 10036-2711

6. Number of applications

and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Please charge to the deposit account listed in Section 8.

8. Deposit account number:

16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Anthony M. Insogna

35,203

Name of Person Signing

Reg. No.

Signature

February 6, 2001

Date

Total number of pages including cover sheet:

2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignment  
Washington, D.C. 20231

02/19/2002 6TON11 00000130 161150 09994585

01 FC:581

40.00 CH

SOLE

ASSIGNMENT

WHEREAS, I, Douglas Levinson, ASSIGNOR, citizen of the United States, residing at 111 Maple Street, Sherborn, MA 01770, am the inventor of the invention in

**RAPID IDENTIFICATION OF CONDITIONS, COMPOUNDS, OR COMPOSITIONS, THAT INHIBIT, PREVENT, INDUCE, MODIFY, OR REVERSE TRANSITIONS IN PHYSICAL STATE**

for which has been filed a United States non-provisional patent application;

- ☐ which is identified by Pennie & Edmonds LLP docket no. 10436-0015-999;
- ☐ which was filed on November 27, 2001, Application No. 09/994,585;

and WHEREAS, TransForm Pharmaceuticals, Inc., organized and existing under the laws of the state of Delaware, and having an office for the transaction of business at 610 Lincoln Street, Waltham, Massachusetts 02451, ASSIGNEE is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States provisional application and all applications claiming priority thereto under 35 U.S.C. §119(e) and divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

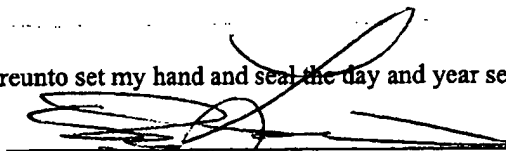
AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signatures below.

Date: 2/5, 2002

  
\_\_\_\_\_  
Douglas Levinson

L.S.

State of Massachusetts  
County of Middlesex ) SS.:

On 2/5, 2002, before me, Reida A. Taylor, Notary Public, personally appeared Douglas Levinson, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal



RECEIVED 1645  
MAY 30 2003  
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Douglas Levinson

Group Art Unit: 1645

App. No. : 09/994,585

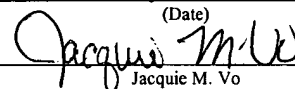
Filed : November 27, 2001

For : Rapid Identification of  
Conditions, Compounds, or  
Compositions that Inhibit,  
Prevent, Induce Modify, or  
Reverse Transitions of  
Physical State

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, PO Box 1450, Alexandria, VA 22313-  
1450, on

May 22, 2003

(Date)

  
Jacquie M. Vo

Examiner : Unknown

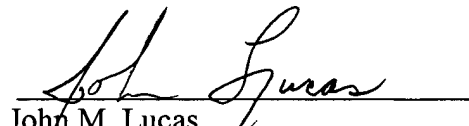
**TRANSMITTAL OF REVOCATION OF POWER AND NEW POWER OF  
ATTORNEY**

Mail Stop  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully submits a Revocation of Power of Attorney and New  
Power of Attorney for the above-referenced application.

Should the Examiner have any questions regarding this matter he is invited to  
telephone the undersigned so that the question may be resolved.

  
John M. Lucas  
Registration No. 43,373  
Transform Pharmaceuticals, Inc.  
(781) 674-7852 ph